

# Children Who Snore – Do they have Sleep Apnea?

Iman Sami, M.D.

Division of Pulmonary and Sleep Medicine,  
Children's National

June 3, 2015



No disclosures relevant to this talk

# Objectives

- Describe the spectrum of sleep disordered breathing (SDB) in healthy children
- Describe Nocturnal Polysomnography (PSG)
- Describe phenotypes and diagnosis of obstructive sleep apnea (OSA)
- Discuss sequelae and treatment options of OSA

# Sleep History

- Bed-time problems
- How long a child takes to fall asleep
- Quantity
- Quality
- Sounds

# Sleep Disordered Breathing

- Spectrum of repetitive episodes of complete or partial obstruction of the airway during sleep.
- “Hark, how hard he fetches breath.”
  - William Shakespeare, King Henry IV, Part 1

# Primary Snoring (PS)

- No significant obstructive events, arousals, or gas exchange abnormalities
- Often noticed while family is on vacation and sharing a room with child
- 10-12 % of children

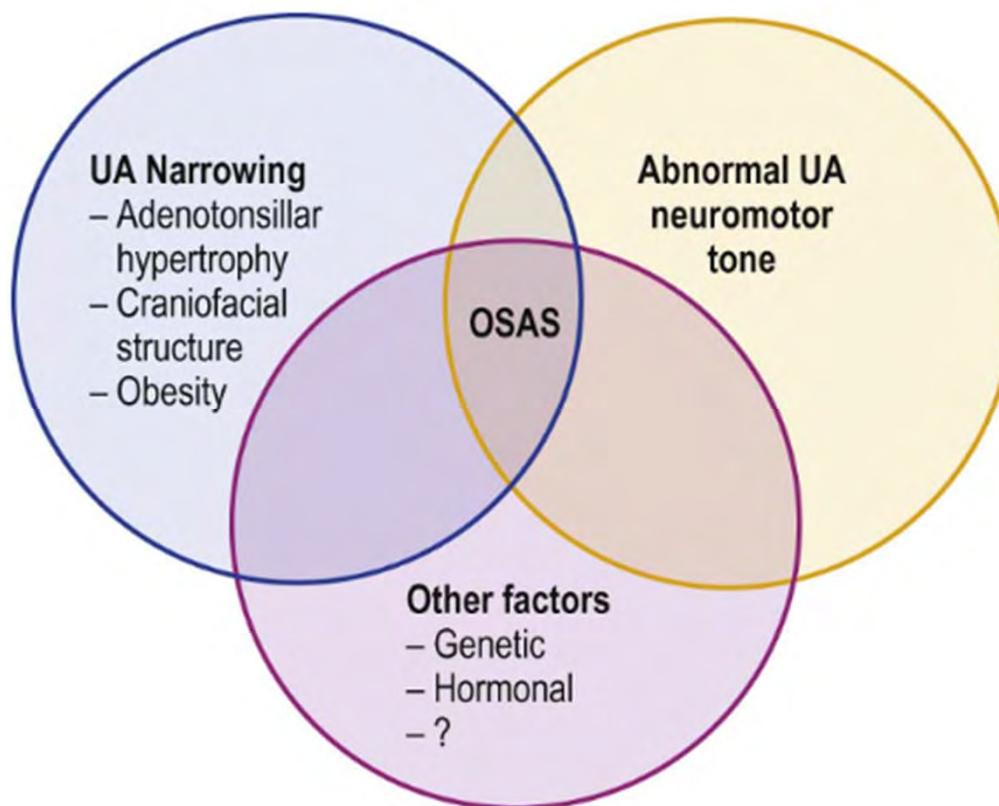
# Upper Airway Resistance Syndrome (UARS)

- Increasingly negative intra-thoracic pressures during inspiration that lead to arousals and sleep fragmentation
- Events may not meet scoring criteria for obstructive apnea or hypopnea
- Gas exchange unaffected

# Obstructive sleep apnea (OSA)

- Prolonged partial or complete upper airway obstruction
- Disrupts normal ventilation and gas exchange
- Disrupts normal sleep
- 1-4% of children

# Pathophysiology of OSA



Marcus, CL Pathophysiology of OSAS in Children. Sleep and Breathing in Children,  
A developmental approach. Marcel Dekker Inc. 2000



# When snoring is reported:

- “Heroic” snorts
- Asynchronous movements of chest & abdomen
- Witnessed apnea
- Disturbed sleep
- Sweating
- Enuresis

# Other red flags

- Behavioral problems
- Academic concerns
- Excessive daytime sleepiness
- Mouth-breathing
- Recurrent adeno-tonsillitis

# Despite taking a good history

- Cannot distinguish with certainty between primary snoring and obstructive sleep apnea
- Clinical suspicion is high ---Referral for a PSG

# Polysomnography – gold standard to diagnose OSA

Who needs a sleep study?

# Revised AAP Clinical Practice Guidelines (2012) - Diagnosis of OSA

- All children/adolescents should be screened for snoring
- PSG should be performed if OSA is suspected
- If not available, then specialist evaluation with an alternative test recommended

Marcus et al, PEDIATRICS Vol. 130, No. 3, Sept 2012



# AASM Practice Parameters

- Recommend PSG – suspected OSA in children (S)
- Nap - not recommended (O)
- Insufficient data for unattended in-home portable PSG testing
- PSG indicated in children considered for Tonsillectomy and Adenoideectomy (T & A) (G) to establish the severity of OSA, (postoperative risk) and need for a repeat PSG after surgery

Aurora RN, et al Sleep. 34:379-388 2011



# Otolaryngology guidelines (2011)

- PSG - most reliable and objective test to assess presence and severity of OSA,
- PSG is not necessary to perform routinely to diagnose SDB

Roland, PS et al *Otolaryngol Head Neck Surg* July 2011 vol. 145  
no. 1 suppl S1-S15





**Children's National Medical Center  
PEDIATRIC SLEEP DISORDERS LABORATORY  
SLEEP STUDY REQUEST FORM**

Phone: (202) 476-2022 Fax: (202) 476-2981



**PATIENT INFORMATION:** (may attach demographic sheet)

Name \_\_\_\_\_ DOB \_\_\_\_\_ Age \_\_\_\_\_ Y \_\_\_\_\_ M \_\_\_\_\_ Sex:  M  F

Last \_\_\_\_\_ First \_\_\_\_\_ MI \_\_\_\_\_ Insurance Carrier and ID # \_\_\_\_\_ Must send copy of Insurance card  Done

Parent's name \_\_\_\_\_ Address \_\_\_\_\_

Contact Information: Phone (Home) \_\_\_\_\_ (Work) \_\_\_\_\_ (Mobile) \_\_\_\_\_ e-mail \_\_\_\_\_

Referring Physician \_\_\_\_\_ Specialty \_\_\_\_\_ Phone # \_\_\_\_\_ Fax# \_\_\_\_\_

Primary Care Physician \_\_\_\_\_ Ph # \_\_\_\_\_ Fax# \_\_\_\_\_

Ordering Physician Signature \_\_\_\_\_ Date \_\_\_\_\_

**REASON FOR SLEEP STUDY REFERRAL**

**NOTE: PLEASE ATTACH A COPY OF THE PATIENT'S MOST RECENT CLINICAL ENCOUNTER DOCUMENTING DETAILS OF THE SLEEP HISTORY, PHYSICAL EXAM AND REASON FOR REFERRAL**

**PRESENTING COMPLAINTS:** (Check all that apply)

<input type="checkbox"/> Loud snoring	<input type="checkbox"/> Cyanosis/hypoxia	<input type="checkbox"/> On CPAP/BiPAP	<input type="checkbox"/> Bedtime resistance	<input type="checkbox"/> Restless legs symptoms
<input type="checkbox"/> Choking/gagging arousals	<input type="checkbox"/> ATE	<input type="checkbox"/> Daytime sleepiness	<input type="checkbox"/> Difficulty falling asleep	<input type="checkbox"/> Sleepwalking
<input type="checkbox"/> Observed apneas in sleep	<input type="checkbox"/> Agnos of prematurity	<input type="checkbox"/> Mood/behavior problems	<input type="checkbox"/> Night wakings	<input type="checkbox"/> Sleep terrors
<input type="checkbox"/> Restless sleep	<input type="checkbox"/> On O2	<input type="checkbox"/> Attention problems/ADHD	<input type="checkbox"/> Insufficient sleep	<input type="checkbox"/> Circadian rhythm disruption
<input type="checkbox"/> Nocturnal diaphoresis	<input type="checkbox"/> On ventilator	<input type="checkbox"/> Academic concerns	<input type="checkbox"/> Inadequate sleep hygiene	<input type="checkbox"/> Nocturnal seizures
<input type="checkbox"/> Enuresis	<input type="checkbox"/> Tracheostomy	<input type="checkbox"/> Other	<input type="checkbox"/> Other	<input type="checkbox"/> Other

**RISK FACTORS/MEDICAL CONDITIONS:** (Check all that apply):

<input type="checkbox"/> Adenotonsillar hypertrophy	<input type="checkbox"/> Gastroesophageal reflux	<input type="checkbox"/> Cystic fibrosis
<input type="checkbox"/> S/P T&A Date	<input type="checkbox"/> Craniofacial anomalies	<input type="checkbox"/> Prematurity/RPD
<input type="checkbox"/> Obesity BMI	<input type="checkbox"/> Down syndrome	<input type="checkbox"/> Tracheostomy
<input type="checkbox"/> Allergies	<input type="checkbox"/> Neuromuscular disease/CP	<input type="checkbox"/> Seizures (type):
<input type="checkbox"/> Asthma	<input type="checkbox"/> Developmental delay/MR	<input type="checkbox"/> Other
<input type="checkbox"/> Family history OSA	<input type="checkbox"/> Sickle cell disease	

Previous sleep studies?  Yes  CNMC lab?  Other lab? (if so, please attach previous sleep study results)

**CURRENT MEDICATIONS:** \_\_\_\_\_

**POLYSOMNOGRAM REQUESTED:**  Elective  Urgent  Pre-op Surgery date \_\_\_\_\_

PSG 95810  PSG + CPAP/BIPAP titration (initial) 95811

PSG + MSLT 95810 + 95805  PSG + CPAP/BIPAP titration (repeat) 95811 Current settings: \_\_\_\_\_

PSG + Seizure montage 95810  
 PSG + Other (Ventilator, O2, Tracheostomy) 95810 (requires referral by a pediatric pulmonologist)

**FOLLOW UP (please check one):**  CNMC Sleep Clinic \_\_\_\_\_  Referring physician \_\_\_\_\_  PCP \_\_\_\_\_  Other: \_\_\_\_\_

**SPECIAL INSTRUCTIONS:** \_\_\_\_\_

**Area Below For Sleep Laboratory Use Only**

Sleep Study Request reviewed and approved by Sleep Lab Medical Director  Not approved  Approval pending

Comments: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_



**Children's National**™

**REASON FOR SLEEP STUDY REFERRAL**

**NOTE: PLEASE ATTACH A COPY OF THE PATIENT'S MOST RECENT CLINICAL ENCOUNTER DOCUMENTING DETAILS OF THE SLEEP HISTORY, PHYSICAL EXAM AND REASON FOR REFERRAL**

**PRESENTING COMPLAINTS:** (Check all that apply)

<input type="checkbox"/> Loud snoring	<input type="checkbox"/> Cyanosis/taresia	<input type="checkbox"/> On CPAP/BiPAP	<input type="checkbox"/> Bedtime resistance	<input type="checkbox"/> Restless legs symptoms
<input type="checkbox"/> Choking/gasping arousals	<input type="checkbox"/> ALTE	<input type="checkbox"/> Daytime sleepiness	<input type="checkbox"/> Difficulty falling asleep	<input type="checkbox"/> Sleepwalking
<input type="checkbox"/> Observed apneas in sleep	<input type="checkbox"/> Apnea of prematurity	<input type="checkbox"/> Mood/behavior problems	<input type="checkbox"/> Night wakings	<input type="checkbox"/> Sleep terrors
<input type="checkbox"/> Restless sleep	<input type="checkbox"/> On O <sub>2</sub>	<input type="checkbox"/> Attention problems/ADHD	<input type="checkbox"/> Insufficient sleep	<input type="checkbox"/> Circadian rhythm disruption
<input type="checkbox"/> Nocturnal diaphoresis	<input type="checkbox"/> On ventilator	<input type="checkbox"/> Academic concerns	<input type="checkbox"/> Inadequate sleep hygiene	<input type="checkbox"/> Nocturnal seizures
<input type="checkbox"/> Enuresis	<input type="checkbox"/> Tracheotomy	<input type="checkbox"/> Other	<input type="checkbox"/> Other	<input type="checkbox"/> Other

**RISK FACTORS/MEDICAL CONDITIONS:** (Check all that apply):

<input type="checkbox"/> Adenotonsillar hypertrophy	<input type="checkbox"/> Gastroesophageal reflux	<input type="checkbox"/> Cystic fibrosis
<input type="checkbox"/> S/P T&A Date	<input type="checkbox"/> Craniofacial anomalies	<input type="checkbox"/> Prematurity/BPD
<input type="checkbox"/> Obesity BMI	<input type="checkbox"/> Down syndrome	<input type="checkbox"/> Tracheostomy
<input type="checkbox"/> Allergies	<input type="checkbox"/> Neuromuscular disease/CP	<input type="checkbox"/> Seizures (type): _____
<input type="checkbox"/> Asthma	<input type="checkbox"/> Developmental delay/MR	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Family history OSA	<input type="checkbox"/> Sickle cell disease	

Previous sleep studies?

 Yes CNMC lab? Other lab? (if so, please attach previous sleep study results)**CURRENT MEDICATIONS:****POLYSOMNOGRAM REQUESTED:** Elective     Urgent     Pre-op    Surgery date \_\_\_\_\_ PSG 95810 PSG + CPAP/BiPAP titration (initial) 95811 PSG + MSLT 95810 + 95805 PSG + CPAP/BiPAP titration (repeat) 95811 Current settings: \_\_\_\_\_ PSG + Seizure montage 95810 PSG + Other (Ventilator, O<sub>2</sub>, Tracheostomy) 95810 (requires referral by a pediatric pulmonologist)

Children's National™

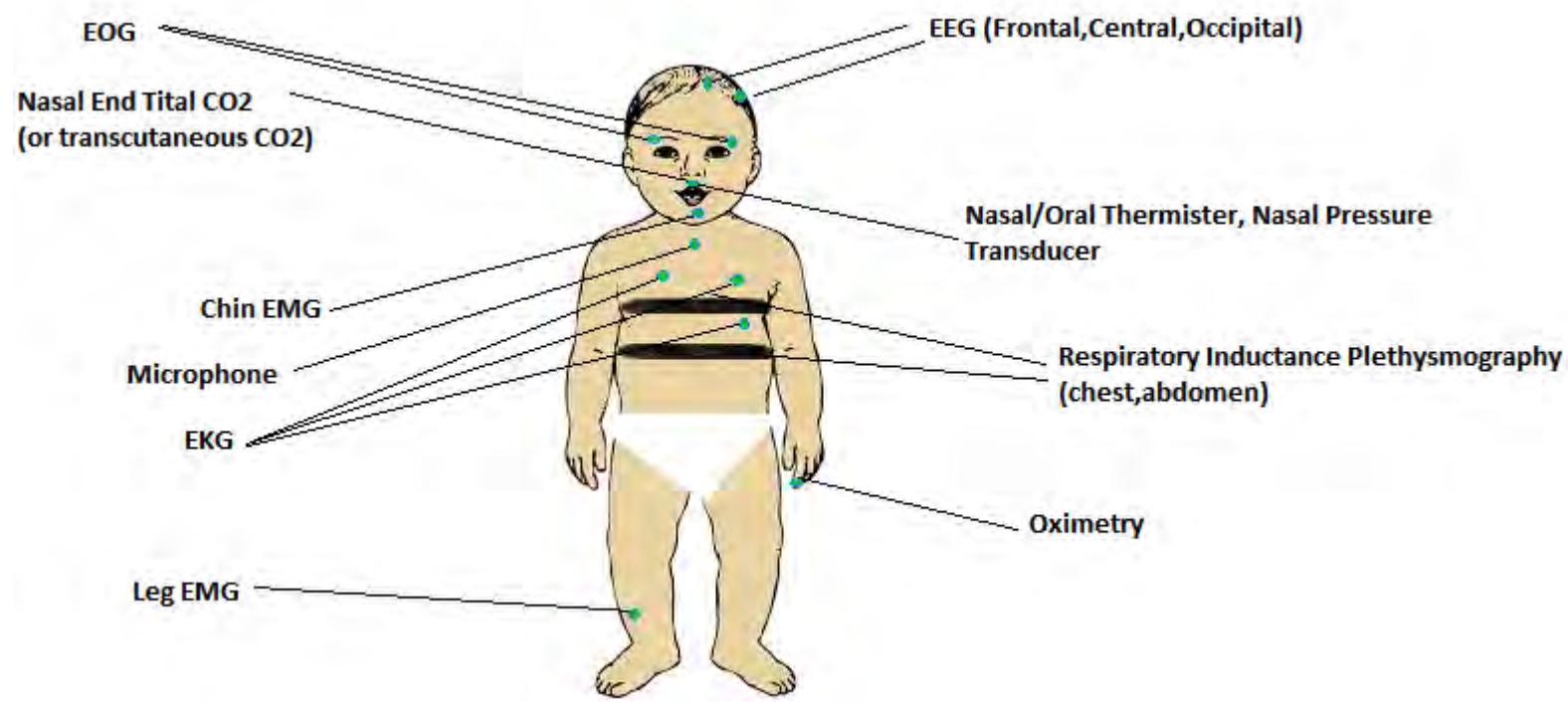
# Pediatric Sleep Lab

- Requests are screened and prioritized
- Pediatric Sleep Lab - caters to infants, children and teenagers with “space” for parent
- Location - inpatient
- Staff – child-friendly, ratio of tech to patient is high
- Capnography
- Severe studies – priority in scoring and interpretation



Children's National™

# Polysomnography Recordings



From I Sami & J Owens, Polysomnography for the Pediatric Pulmonologist,  
Diagnostic Tests in Pediatric Pulmonology, 1<sup>st</sup> Ed. 2014



# “Wired up”

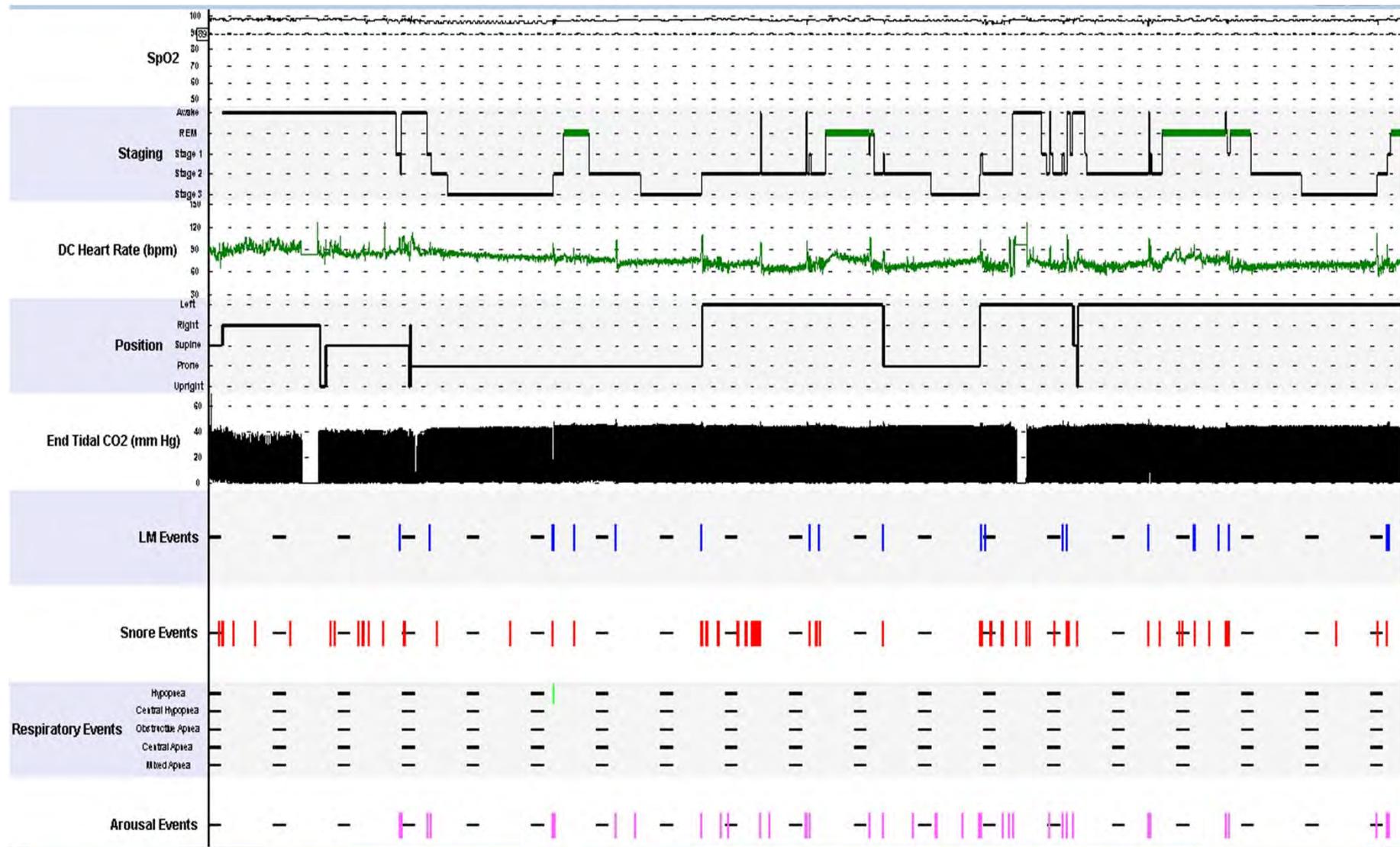


Children's National™

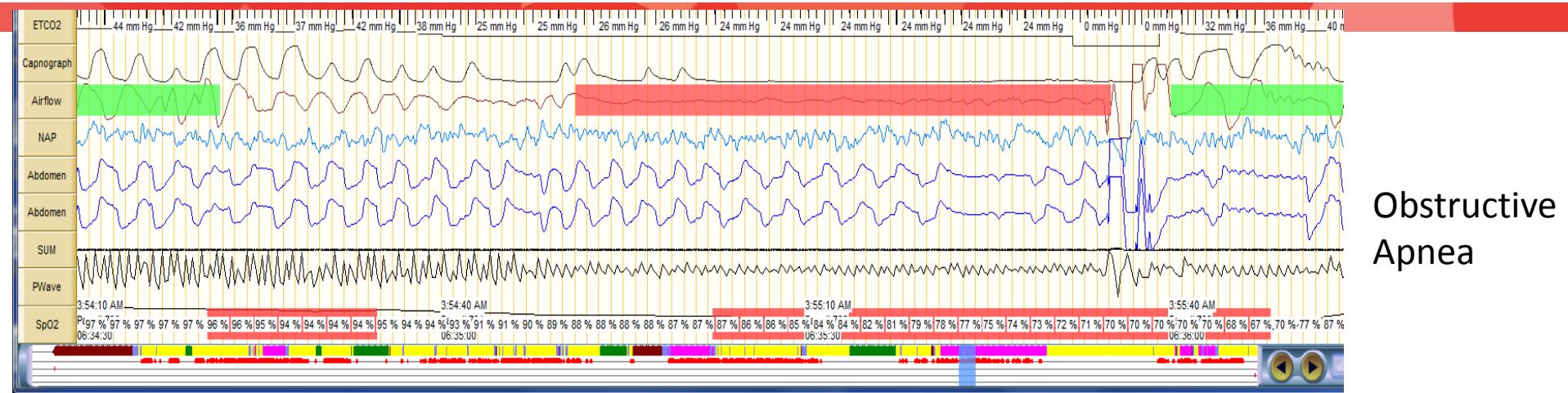
# BASELINE POLYSOMNOGRAPHY REPORT

- ***Signals recorded:***
- ***Methodology:***
- **Patient Information**
- **Reason for referral:**
- **Study Summary**
- **History:**
- **Medications Reported:**
- **Sleep Staging and Architecture: EEG**
- **Respiratory findings:** RDI (includes all apneas, hypopneas and RERAs). The AHI (includes all respiratory events except RERA's)
- **Oxygenation and ETCO<sub>2</sub>:**
- **Limb Movement findings:**
- **EKG findings:**
- **Impression/Recommendations:**
- **Final Diagnosis:**

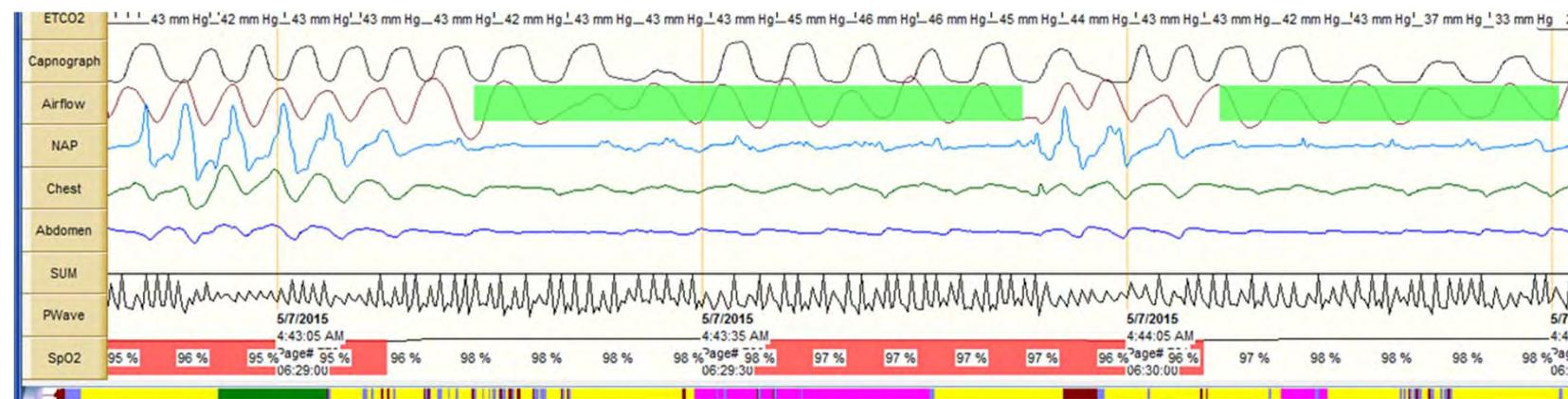
# Hypnogram



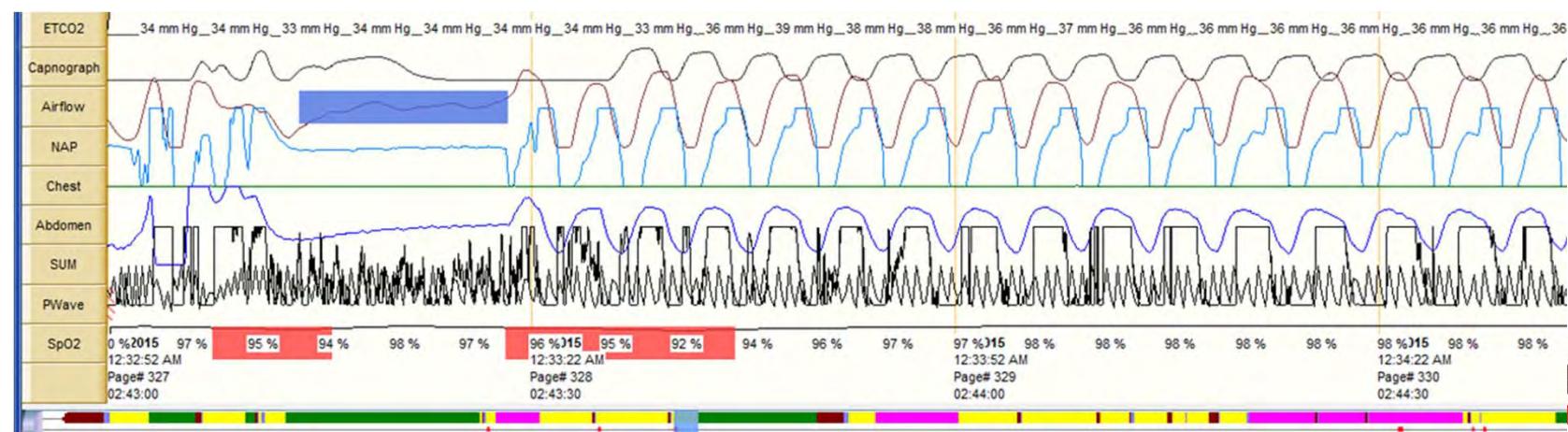
Children's National™



Obstructive  
Apnea



Obstructive  
Hypopnea



Central  
Apnea

# PSG diagnostic criteria for OSA

- Mild OSA - AHI > 1.5 or AI > 1 /hour
- Moderate OSA - AHI is >5,
- Severe OSA – AHI > 10.
- Hypoxemia
  - Oxygen desaturation nadir < 91%
  - Change in oxygen nadir from baseline > 3%

# PSG diagnostic criteria for OSA

- Hypoventilation:
  - Maximum end-tidal carbon dioxide > 54 mmHg
  - End-tidal carbon dioxide > 50 mmHg for more than 25 % of TST
- Sleep Fragmentation:
  - Increased EEG arousals - >10/hr
  - Increased awakenings

# Case I

- 4 year old
- History: Snores, poor appetite, has wheezed with URIs,
- Mouth-breathes during sleep with head extended
- Pre-school told his parent he should be evaluated for “ADHD”.

# Case I

- Physical Exam:
- Weight < 3%, length 10-25%
- Adenoidal facies with allergic shiners
- Cervical lymph nodes: ++
- Rest of exam unremarkable except:



Children's National™

# Type I OSA

- Most common cause is Adeno-tonsillar Hypertrophy
- Strong association between OSA, and asthma

## Case II

- 12 year old – snores very loudly so siblings do not want to share a room
- Has asthma with worsening control in last 3-4 years despite ICS and leukotriene modifier
- Academic performance: poor, sometimes falls asleep in class, always in the car
- Teased by other kids

## Case II

- BMI – 34, large neck circumference
- Edematous nasal turbinates,
- Narrow palate, tonsils: 2+
- End-expiratory wheezing on lung examination



# Type II OSA

- Major risk factor: Obesity
- Morning headaches
- Co-morbidities:
  - Allergic rhinitis
  - Asthma
  - Hyperglycemia
  - Hypertriglyceridemia

# Other Investigations

- Serum HCO<sub>3</sub> and hematocrit
- Imaging
- EKG
- Echocardiogram
- Pulmonary Function Tests

# Why do we care about OSA?



Children's National™

# Cognitive and Behavioral Consequences of OSA

- Strong association between SDB and:
  - Behavior - hyperactivity, inattention, & aggression
  - Cognition – IQ, memory, academic performance and executive functioning

Gozal D. Pediatrics. 102 (3 Pt 1):616-620 1998  
Bourke R, et al. Sleep Med. 12 (5):489-496 2011



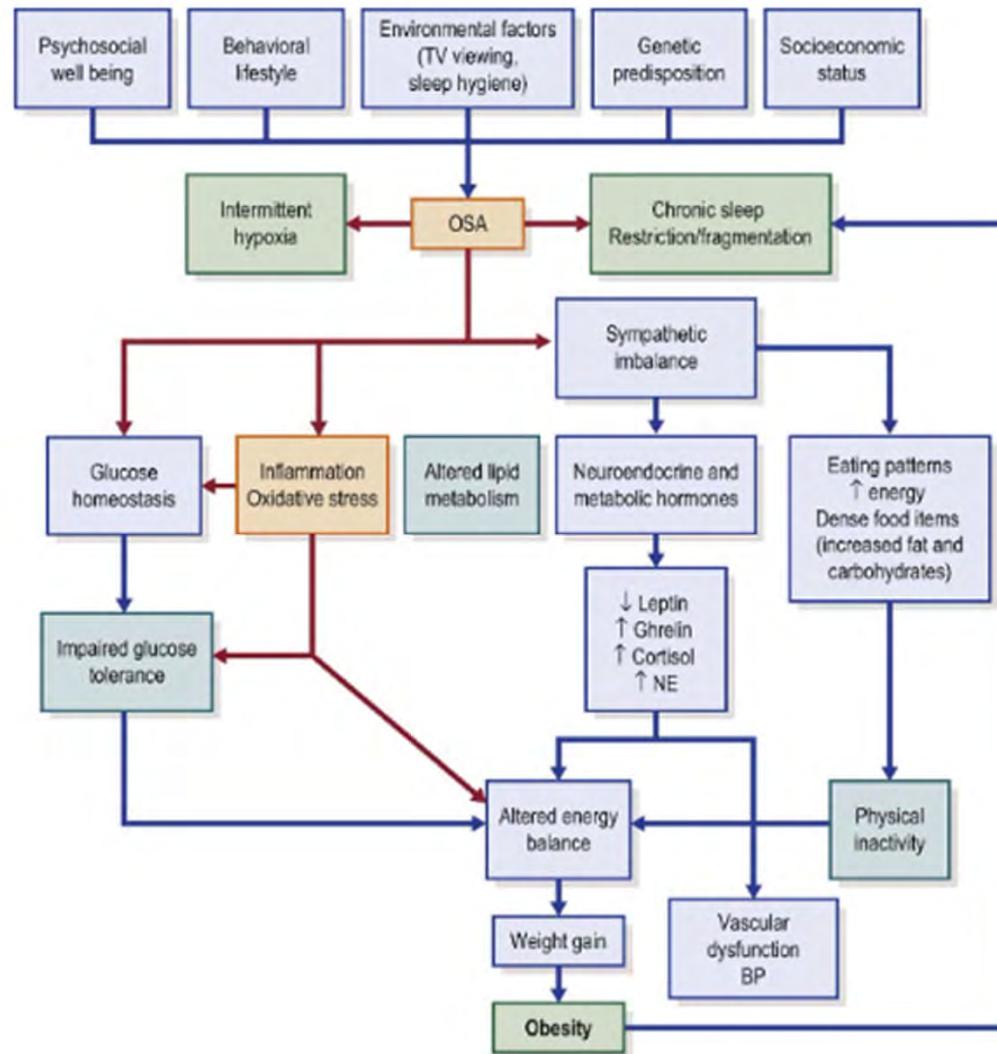
# Cognitive and Behavioral Consequences of OSA

- Mechanisms: Sleep fragmentation and intermittent hypoxemia impact prefrontal cortex
- Window of vulnerability in developing children
- Treatment interventions may only partially reverse deficits

Gozal D, et al. Pediatrics. 107 (6):1394-1399 2001



# Metabolic & Cardiovascular Consequences of OSA



From D Gozal Metabolic Consequences of SDB, Principles & Practice of Pediatric Sleep Medicine. 2<sup>nd</sup> Ed. 2014

# You have the report – what next?

- It's not just the AHI -
- Impact on the child's wellbeing
- Mild cases: trial of anti-inflammatory therapy - montelukast and nasal steroids
- Orthodontal procedures
- Moderate and severe cases – surgical treatment and/or positive airway pressure

Goldbart AD, et al. Pediatrics. 130 (3):e575-e580 2012  
Villa MP, et al. Sleep Breath. 15 (2):179-184 2011



# Revised AAP Clinical Practice Guidelines (2012) - Management of OSA

- Adeno-tonsillectomy - first-line treatment of patients with adeno-tonsillar hypertrophy
- High-risk patients - monitored postoperatively
- Postoperative evaluation
- Intranasal corticosteroids - mild OSA
- Weight loss - in patients who are overweight or obese.

Marcus et al, PEDIATRICS Vol. 130, No. 3, Sept 2012



# Persistence of OSA post T & A

- Up to 27%
- Risk factors:
  - Obesity
  - Asthma
  - High AHI
  - GERD
  - Down's syndrome
  - CP

Bhattacharjee R, et al. Am J Respir Crit Care Med. 182 (5):676-683 2010



# Revised AAP Clinical Practice Guidelines (2012) - Management of OSA

- Continuous positive airway pressure - if adeno-tonsillectomy not performed or OSA persists postoperatively.

Marcus et al, PEDIATRICS Vol. 130, No. 3, Sept 2012



# Treatment Options

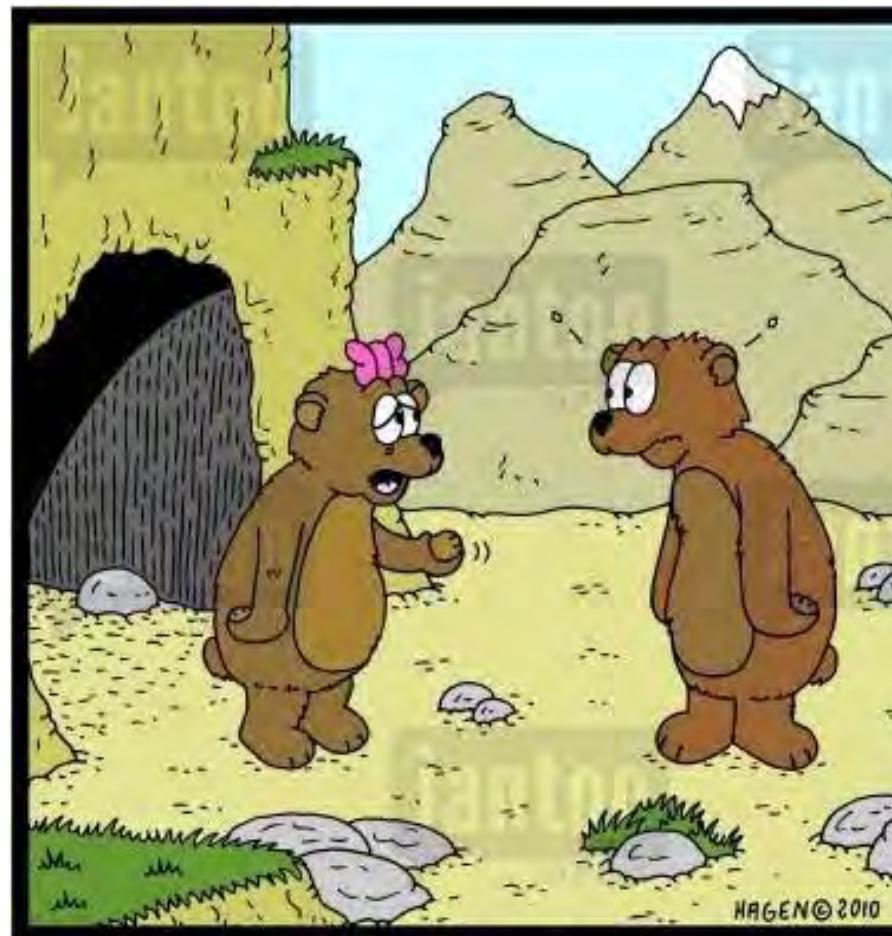


- Mask-fitting
- CPAP after a protocol of desensitization
- Titration study
- Bilevel PAP if pressures high or hypoventilation

# Acknowledgements

- Pulmonary and sleep colleagues in the Division of Pulmonary and Sleep Medicine, CNHS

# Thank you – Questions?



You have to do something about your snoring:  
I don't want to go through a winter like that again.